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Please see attached.

Docket No.:

7678.791

Serial No.:

10/646,484

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PATENT APPLICATION  
Docket No.: 7678.791

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop

Serial No. 10/646,484

Filed: August 22, 2003

For: COMPOSITIONS AND DEVICES HAVING A TRAY-  
LIKE CONFIGURATION FOR DELIVERING AN  
ORAL MEDICAMENT AND METHODS OF  
MANUFACTURING SUCH COMPOSITIONS  
AND DEVICES

Examiner: Not yet known

Customer No.: 022913

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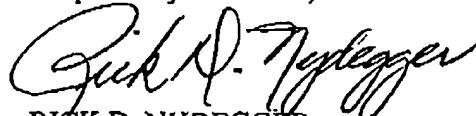
Sir:

I hereby certify that this paper is being transmitted via facsimile to the Patent and Trademark Office to phone no. (703) 872-9306 (Formal Fax No.) on the date shown below.

- Transmittal Letter (2 pages)
- Supplemental Information Disclosure Statement (2 pgs.)
- Form PTO-1449 Listing Thirty Five (35) References (3 pgs.)
- Copy of two (2) cited references

Dated this 11 day of May, 2005.

Respectfully submitted,

RICK D. NYDEGGER  
Registration No. 28,651  
Attorney for Applicant  
Customer No. 022913RDN:re  
r:00000039/0V001

PAGE 3/67 \* RCVD AT 5/11/2005 6:39:44 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/5 \* DNIS:8729306 \* CSID:8013281707 \* DURATION (mm-ss):17-06

Letter to Commissioner of Patents  
Page Two

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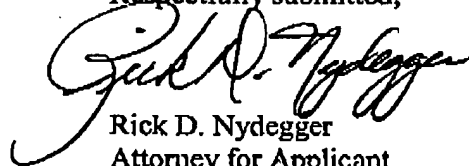
X Copies of two (2) cited foreign references

Because this Information Disclosure Statement is being filed prior to the mailing of the first substantive office action, no fee is required.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 11 day of May, 2005.

Respectfully submitted,



Rick D. Nydegger  
Attorney for Applicant  
Registration No. 28,651  
Customer No. 022913  
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**MAY 11 2005**

**PATENT APPLICATION**  
Docket No.: 7678.791

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop

Serial No. 10/646,484

Filed: August 22, 2003

**For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES**

**Examiner:** Not yet known

**Customer No.:** 022913

**SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. § 1.97**

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**Sir:**

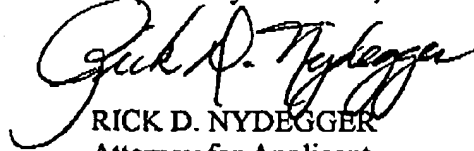
Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion of the foreign references are also enclosed. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 11 day of MAY, 2005.

Respectfully submitted,



RICK D. NYDEGGER  
Attorney for Applicant  
Registration No. 28,651  
Customer No. 022913

RDN:rc  
rc0000003959V001

Form PTO-1449

Sheet 1 of 3

Applicant: Peter M. Allred et al.

Serial No.: 10/646,484

Att'y Docket No.: 7678.791

Filing Date: August 22, 2003

Group: 3749

For: Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices

**INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT****U.S. Patent Documents**

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1.	165,584	07/13/1875	Hopfen	--	--	06/10/1875
_____ A2.	1,637,153	07/26/27	Lawton	--	--	10/23/26
_____ A3.	2,257,709	09/30/41	Anderson	128	260	10/14/38
_____ A4.	3,339,547	09/05/67	Drabkowski	128	260	11/27/64
_____ A5.	3,527,219	09/08/70	Greenberg	128	260	08/21/67
_____ A6.	3,577,640	05/04/71	Lee	32	32	10/08/69
_____ A7.	3,624,909	12/07/71	Greenberg	32	40	05/26/70
_____ A8.	3,955,281	05/11/76	Weitzman	32	14 B	12/05/74
_____ A9.	4,044,762	08/30/77	Jacobs	128	136	08/23/76
_____ A10.	4,063,552	12/20/77	Going et al.	128	136	04/02/76
_____ A11.	4,064,628	12/27/77	Weitzman	32	14 B	03/08/76
_____ A12.	4,138,814	02/13/79	Weitzman	32	14 B	12/22/77
_____ A13.	4,902,227	02/20/90	Smith	433	215	05/04/88
_____ A14.	5,051,476	09/24/91	Uji et al.	525	186	03/23/90
_____ A15.	5,085,585	02/04/92	Zimble	433	80	02/05/91
_____ A16.	5,211,559	05/18/93	Hart et al.	433	80	06/02/92
_____ A17.	5,356,291	10/18/94	Darnell	433	216	01/26/93

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 3

Applicant: Peter M. Allred et al.  
 Serial No.: 10/646,484  
 Filing Date: August 22, 2003  
 For: Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices

Att'y Docket No.: 7678.791  
 Group: 3749

A18.	5,376,006	12/27/94	Fischer	433	215	09/24/92
A19.	5,573,399	11/12/96	McClintock, II	433	80	10/24/94
A20.	5,631,000	05/20/97	Pellico	424	53	03/11/96
A21.	5,702,251	12/30/97	McClintock, II	433	80	05/31/96
A22.	5,752,826	05/16/96	Andreiko	433	41	05/19/98
A23.	5,851,512	12/22/98	Fischer	424	49	05/30/97
A24.	5,895,218	04/20/99	Quinn et al.	433	80	08/10/98
A25.	5,922,307	07/13/99	Montgomery	424	53	09/25/96
A26.	5,985,249	11/16/99	Fischer	424	49	10/14/97
A27.	6,089,869	07/18/00	Schwartz	433	215	03/15/99
A28.	6,309,625	10/30/01	Jensen et al.	424	49	11/12/98
A29.	6,312,671	11/06/01	Jensen et al.	424	53	12/20/99
A30.	6,331,292	12/18/2001	Montgomery	424	53	11/16/98
A31.	6,364,665	04/02/02	Trettemerp	433	215	04/04/00
A32.	6,379,147	04/30/02	Georgakis et al.	433	37	06/26/00
A33.	6,488,914	12/3/2002	Montgomery	424	53	10/31/01

Foreign Patent Documents

<u>Examiner</u>	<u>Document No.</u>	<u>Publication Date</u>	<u>Country or Pat Office</u>
A34.	WO 03/000216	3 Jan 2003	WIPO
A35.	WO 88/06869	22 September 1988	WIPO

Examiner:

Date Considered:

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Form PTO-1449

Sheet 3 of 3

Applicant: Peter M. Allred et al.

Serial No.: 10/646,484

Att'y Docket No.: 7678.791

Filing Date: August 22, 2003

Group: 3749

For: Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices

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**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

ru0000003891V001

Examiner:

Date Considered:

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